## **Certificate of Mailing**

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450...

Date: June 14, 2004

pnia V. McVean

PATENT 36856.550

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Takayo KATSUKI et al.

Serial No.: 09/965,538

Filing Date: September 27, 2001

For: SURFACE-MOUNTABLE PTC

THERMISTOR AND MOUNTING

**METHOD THEREOF** 

Art Unit: 2832

Examiner: K. Easthom

## **INFORMATION DISCLOSURE STATEMENT**

Mail Stop DD Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, submitted herewith are copies of three (3) references cited in the enclosed Office Action issued in a corresponding Japanese Patent Application. For the Examiner's convenience, we have enclosed an English translation of the Japanese Office Action from the corresponding Japanese Patent Application and a completed Form PTO-1449. The relevance of the non-English language reference, JP63-046802, is set forth in the English language Japanese

U.S. Serial No. 09/965,538 June 14, 2004 Page 2 of 2

Search Report enclosed herewith. The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this statement, and that this is the first citation of these prior art references by a foreign patent office in a counterpart foreign patent application. Accordingly, no fee is necessary for the filing of this statement. Should the Commissioner determine otherwise, the Commissioner is authorized to charge Deposit Account No. 50-1353 for any fee shortages, including the petition fee under 37 C.F.R. § 1.17(p).

Applicants respectfully request that the disclosed reference be made of record in the subject application.

Respectfully submitted,

Date: June 14, 2004

Attorneys for Applicant(s)

Joseph R. Keating Registration No. 37,368

Christopher A. Bennett Registration No. 46,710

KEATING & BENNETT LLP 10400 Eaton Place, Suite 312 Fairfax, VA 22030 (703) 385-5200

Complete if Known Substitute for form 1449/PTO plication Number 09/965,538 **Filing Date** September 27, 2001 INFORMATION DISCLOSURE First Named Inventor Takayo KATSUKI STATEMENT BY APPLICANT Art Unit 2832 K. Easthom (Use as many sheets as necessary) **Examiner Name** Sheet 36856.550 **Attorney Docket Number** 

U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Document Number Number-Kind Code <sup>2(if known)</sup>	Publication Date MM -YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant		
		Training Training Godd			Figures Appear		
					-		
				,			
				,			

FOREIGN PATENT DOCUMENTS								
Examine r Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> Number-Kind Code <sup>5</sup> (if known)	Publication Date MM -YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Te Te		
	1	JP 09-246013	09/1997	Japan		Х		
	2	JP 09-129404 ،	05/1997	Japan		х		
	3	JP 63-46802	03/1988	Japan		x		
-								

Examiner	Date	
Signature	Considered	

<sup>\*</sup>Examiner: Initial if reference considered, whether of not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document by the two-letter code (WIPO Strandard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Abstract is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.